

Docket No. 210669US0

IN RE APPLICATION OF: Yuta NAKAI, et al.

SERIAL NO: 09/897,988

FILED: July 5, 2001

FOR: METHOD FOR PRODUCING SUBSTANCE UTILIZING MICROORGANISM



COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

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SIR:

Transmitted herewith is an Amendment in the above-identified application.

TECH CENTER 1600/2900

☐ No additional fee is required

☒ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.

☒ Additional documents filed herewith: Information Disclosure Statement w/PTO Form 1449; Request for Extension of Time (one month); Cited References (5); Letter Submitting Drawing Sheet(s); Drawing Sheets for Figs. 1 and 2

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	10	MINUS	20	0	x \$18 =	\$0.00
INDEPENDENT	1	MINUS	3	0	x \$84 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$0.00

☒ A check in the amount of **\$290.00** is attached.

☐ Credit card payment form is attached to cover the fees in the amount of **\$0.00**

☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Thomas W. Barnes, III

Registration No. 52,595



22850

Customer Number 22850  
Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)



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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :  
YUTA NAKAI, ET AL. : EXAMINER: MARVICH, M.  
SERIAL NO: 09/879,988 :  
FILED: JULY 5, 2001 : GROUP ART UNIT: 1636  
FOR: METHOD FOR PRODUCING :  
SUBSTANCE UTILIZING  
MICROORGANISM

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AMENDMENT

TECH CENTER 1600/2900

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

In response to the Office Action dated April 9, 2003, please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 4 of this paper.